

# BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LIMITED

**BHARAT  
BHUSHAN**

## ANNEXURE 'E'

Form No. MGT-9

### EXTRACT OF ANNUAL RETURN

as on the Financial Year ended on 31<sup>st</sup> March, 2018

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management Administration) Rules, 2014]

#### I. REGISTRATION AND OTHER DETAILS:

i	CIN	L67120DL1992PLC049038
ii	Registration Date	03.06.1992
iii	Name of the Company	BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LIMITED
iv	Category/Sub-category of the Company	Company Limited by shares
v	Address of the Registered office & contact details	503, Rohit House, 3, Tolstoy Marg, New Delhi-110001
vi	Whether listed company	Listed
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any	Alankit Assignments Limited, 1E/13 Alankit Heights, Jhandewalan Extension, New Delhi – 110 055

#### II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

S. No	Name and Description of Main Products/Services	NIC Code of the Product /Service	% to total turnover of the Company
1.	The Company is engaged in investments and dealing in shares and other securities, providing loan & advances and other related activities	64990	100%

#### III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES – NA

S. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of Shares Held	Applicable Section

#### IV. SHARE HOLDING PATTERN ( Equity Share Capital Breakup as percentage of Total Equity)

##### i) CATEGORY-WISE SHARE HOLDING

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% of change during the Year
	Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
<b>A. Promoters</b>									
(1) <b>Indian</b>									
(a) Individual/ HUF	1819270	0	1819270	53.82	1819270	0	1819270	53.82	Nil
(b) Central Govt.	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(c) State Govt(s)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(d) Bodies Corp.	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(e) Banks/FI	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(f) Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
<b>Sub total (A)(1):-</b>	1819270	0	1819270	53.82	1819270	0	1819270	53.82	Nil
(2) <b>Foreign</b>									
(g) NRIs-Individuals	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(h) Other Individuals	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(i) Bodies Corp.	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(j) Bank/FI	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(k) Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
<b>Sub-Total (A)(2):-</b>	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
<b>Total Shareholding of Promoters (A)= (A)(1) + (A)(2)</b>	1819270	0	1819270	53.82	1819270	0	1819270	53.82	Nil

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Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% of change during the Year
	Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total shares	
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(a) Mutual Funds	Nil	500	500	0.01	Nil	Nil	Nil	Nil	0.01
(b) Banks/FI	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(c) Central Govt.	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(d) State Govt(s)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(e) Venture Capital Funds	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(f) Insurance Companies	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(g) FII's	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(h) Foreign Venture Capital Funds	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(i) Others : (Specify)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
<b>Sub-total (B)(1):</b>	Nil	500	500	0.01	Nil	Nil	Nil	Nil	0.01
<b>2. Non-Institutions</b>									
(a) Bodies Corp.									
(i) Indian	71109	24400	95509	2.82	53090	8100	61190	1.81	1.01
(ii) Overseas	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(b) Individual									
(i) Individual shareholders holding nominal shares capital upto Rs. 2 lakh	804556	533721	1338277	39.59	666676	345521	1012197	29.94	9.65
(ii) Individual Shareholders holding nominal share capital in excess of Rs. 2 lakh.	83771	0	83771	2.48	199904	0	199904	5.91	3.43
(c) Others (specify)									
(i) Clearing Members	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(ii) HUF	Nil	Nil	Nil	Nil	46756	Nil	46756	1.38	1.38
(iii) NRI	12773	16000	28773	0.85	932	8000	8932	0.26	0.59
(iv) Corp. Bodies (OCB)	12500	Nil	12500	0.37	12500	Nil	12500	0.37	Nil
(v) Clearing House/ Public House	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(vi) NBFC registered with RBI	1200	Nil	1200	0.03	1200	Nil	1200	0.03	Nil
(vii) Trust	600	Nil	600	0.01	900	Nil	900	0.03	0.02
(viii) IEPF	Nil	Nil	Nil	Nil	217551	Nil	217551	6.44	6.44
<b>Sub-total(B)(2):-</b>	986509	574121	1560630	46.17	1199509	361621	1561130	46.18	0.01
Total Public shareholding(B)=(B)(1)+(B)(2)	986509	574621	1561130	46.18	1199509	361621	1561130	46.18	Nil
<b>C. Shares held by custodians for GDRs &amp; ADRs</b>	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
<b>Grand Total (A+B+C)</b>	2805779	574621	3380400	100	3018779	361621	3380400	100	Nil

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## ii) SHAREHOLDING OF PROMOTERS

S. No.	Name of Shareholders	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change in share holding during the year
		No. of Shares	% of total shares of the company	% of shares pledge/encumbered to total shares	No. of Shares	% of total shares of the company	% of shares pledge/encumbered to total shares	
1	VIJAY BHUSHAN	801968	23.72	NIL	801968	23.72	NIL	NIL
2	NISHAAHUJA	655826	19.40	NIL	655826	19.40	NIL	NIL
3	JOGESH CHANDER AHUJA	66488	1.97	NIL	66488	1.97	NIL	NIL
4	JOGESH AHUJA (HUF)	118607	3.51	NIL	118607	3.51	NIL	NIL
5	SANGEETA BHUSHAN	104565	3.09	NIL	104565	3.09	NIL	NIL
6	MADHVI AHUJA	33905	1.00	NIL	33905	1.00	NIL	NIL
7	SANDHYA JHALANI	30413	0.90	NIL	30413	0.90	NIL	NIL
8	SANT KUMARI AGRAWAL	1500	0.04	NIL	1500	0.04	NIL	NIL
9	SAVI BHUSHAN	100	0.00	NIL	100	0.00	NIL	NIL
11	KANTA AHUJA	5000	0.15	NIL	5000	0.15	NIL	NIL
12	MADHAV BHUSHAN	898	0.03	NIL	898	0.03	NIL	NIL
	<b>Total</b>	<b>1819270</b>	<b>53.82</b>	<b>NIL</b>	<b>1819270</b>	<b>53.82</b>	<b>NIL</b>	<b>NIL</b>

## iii) CHANGE IN PROMOTERS' SHAREHOLDING (PLEASE SPECIFY IF THERE IS NO CHANGE)

S. No.	Name of Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
	At the beginning of the year	NIL	NIL	NIL	NIL
	Date wise increase/decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/sweat equity etc)	NIL	NIL	NIL	NIL
	At the end of the year (or on the date of separation, if separated during the year)	NIL	NIL	NIL	NIL

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## iv) Shareholding Pattern of top ten Shareholders(Other than Directors, Promoters and Holders of GDRs and ADRs):

S. No.	Name of Shareholders	Shareholding as on 1 <sup>st</sup> April, 2017		Shareholding as on 31 <sup>st</sup> March, 2018	
		No. of shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1.	Investor Education and Protection Fund	-	-	217551	6.44
2.	Jose Varkey Naduthottam	-	-	48790	1.44
3.	Milan Dhar	40377	1.19	47142	1.39
4.	Guntupalli Harish	-	-	41111	1.12
5.	Integrated Financial Services Limited	30000	0.89	30000	0.89
6.	Balram Bharwani	22500	0.67	22621	0.67
7.	Subeeta Dhar	20894	0.62	20894	0.62
8.	Tikam Saraf	16237	0.48	16237	0.48
9.	Savina Sharan	12500	0.37	12500	0.37
10.	WF Holdings Limited	12500	0.37	12500	0.37

- The shares of those shareholders who have not claimed their dividend for a consecutive period of 7 years have been transferred to Investor Education and Protection Fund (IEPF)

## v) Shareholding of Directors & KMP

S. No.	Name of Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	Mr. Vijay Bhushan	801968	23.73	801968	23.73
2	Ms. Nisha Ahuja	655826	19.40	655826	19.40
3	Mr. Jogesh Ahuja	66488	1.97	66488	1.97
4	Ms. Sant Kumari Agrawal	1500	0.04	1500	0.04
5	Mr. Arun Kumar Garg	NIL	NIL	NIL	NIL
6	Mr. Kuldeep Gupta	NIL	NIL	NIL	NIL
7	Mr. Ravindra Singh	NIL	NIL	NIL	NIL
8	Mr. Varun Saihgal	NIL	NIL	NIL	NIL
9	Mr. Satish Aggarwal (Chief Financial Officer)	NIL	NIL	NIL	NIL
10	Ms. Shipra Kamra (Company Secretary)	NIL	NIL	NIL	NIL
11	Ms. Sandhya Ahuja (Manager)	30413	0.90	30413	0.90

## V. INDEBTEDNESS

Indebtedness of the Company including outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial Year</b>	Nil	Nil	Nil	Nil
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)				
<b>Change in Indebtedness during the financial year</b>	Nil	Nil	Nil	Nil
● Addition				
● Reduction				
<b>Net Change</b>	Nil	Nil	Nil	Nil
<b>Indebtedness at the end of the financial Year</b>	Nil	Nil	Nil	Nil
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
<b>Total (i+ii+iii)</b>	Nil	Nil	Nil	Nil

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### A. Remuneration to Managing Director, Whole-Time Director and/or Manager:

S.No	Particulars of Remuneration	Name of the MD/WTD/Manager	Total Amount
		Ms. Sandhya Ahuja (Manager) 01.04.2017 to 31.01.2018	
1	Gross Salary		
	(a) Salary as per provisions contained in section 17(1) of the Income Tax, 1961	3,87,000.00	3,87,000.00
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	0	0
	(c) Profits in lieu of salary u/s 17(3) Income Tax Act, 1961	0	0
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission		
	- as % of Profit	-	-
	- others, (specify)		
5	Others, please specify	0	0
	Total (A)	3,87,000.00	3,87,000.00
	Ceiling as per the Act.(Per Annum)		30,00,000.00

## B. Remuneration to other Directors:

S. No.	Particulars of Remuneration	Name of the Directors					Total Amount
1.	<b>Executive Directors</b>	Nil		Nil		Nil	Nil
2.	<b>Independent Directors</b>	Mr. Kuldeep Kumar Gupta		Mr. Varun Saihgal		Mr. Ravindra Singh	-
	(a) Fee for attending board committee meetings	23,500		41,000		29,500	94,000
	(b) Commission	0.00		0.00		0.00	0.00
	(c) Others, please specify	0.00		0.00		0.00	0.00
	Total (1)	23,500		41,000		29,500	94,000
3.	<b>Other Non-Executive Director</b>	Mr. Vijay Bhushan	Mrs. Nisha Ahuja	Mr. Jogesh C Ahuja	Mrs. Sant Kumari Agrawal	Mr. Arun Kumar Garg	-
	(a) Fee for attending board committee meetings	36,000	35,000	35,000	31,000	14,000	151,000
	(b) Commission	0.00	0.00	0.00	0.00	0.00	0.00
	(c) Others, please specify	0.00	0.00	0.00	0.00	0.00	0.00
	Total (2)	36,000	35,000	35,000	31,000	14,000	151,000
	Total (B)=(1+2)	-	-	-	-	-	245,000
	Total Managerial Remuneration	-	-	-	-	-	245,000
	Overall Ceiling as per the Act	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

## C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

S.No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO	Total
1.	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	N.A.	329,100	467,100	796,200
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	N.A.	0.00	0.00	0.00
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	N.A.	0.00	0.00	0.00
2.	Stock Option	N.A.	0.00	0.00	0.00
3.	Sweat Equity	N.A.	0.00	0.00	0.00
4.	Commission				
	- as % of profit	N.A.	0.00	0.00	0.00
	- others, (specify)				
5.	Others, please specify	N.A.	0.00	0.00	0.00
	Total	-	329,100	467,100	796,200

## VII. PENALTIES/PUNISHMENTS/COMPOUNDING OF OFFENCES: NIL

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/ Compounding fees imposed	Authority (RD/NCLT/ Court)	Appeal Made if any (give Details)
<b>A. COMPANY</b>					
Penalty					
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty					
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty					
Punishment					
Compounding					