

60 years
with you

**BHARAT
BHUSHAN**
SINCE 1954

BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LTD.

(Corporate Identity Number : L67120DL1992PLC049038)

Regd. Office : 503, Rohit House, 3, Tolstoy Marg, New Delhi-110001

Tel.: 011-49800900 • Fax : 011-49800933

E-mail : commodities@bharatbhushan.com • Website : www.bbinvestments.in

REF: BBFCB/BSE/2022-23

April 28, 2022

To,

BSE Ltd.
Phiroze Jeejeebhoy Towers,
25th Floor, Dalal Street,
Mumbai-400001

Scrip Code: 511501

Sub: Certificate under Regulation 40(9) of the Securities and Exchange Board of India ("SEBI") (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2022

Dear Sirs,

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by M/s. Kavita Pamnani & Associates, Practicing Company Secretary, for the year ended 31st March 2022.

Kindly take the same on record.

Thanking you,

Yours truly,

For Bharat Bhushan Finance & Commodity Brokers Ltd.



Kishan Singh
Company Secretary



Encls: a/a

KAVITA PAMNANI & ASSOCIATES
COMPANY SECRETARY

*A -104, Lower Ground Floor,
Amar Colony, Lajpat Nagar-IV,
New Delhi-110024
Mobile No: +91-9811676217
Phone : 011 - 41576217
E-mail : kavitasewani1@gmail.com*

To,
The Board of Directors
Bharat Bhushan Finance & Commodity Brokers Limited
503, Rohit House, 3, Tolstoy Marg, Connaught Place
New Delhi – 110001

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2022

I have examined all the relevant records, registers, files and other documents relating to M/s Bharat Bhushan Finance & Commodity Brokers Limited maintained by Alankit Assignments Limited (RTA) for the period from 01st April, 2021 to 31st March, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and RTA, I hereby certify that:

- A) The Company has not received any request of re-lodgement for transfer of shares and has processed all valid transmission requests received during the period from 01st April, 2021 to 31st March, 2022;
- B) The Company has not received any requests for split, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 01st April, 2021 to 31st March, 2022;
- B) The Company received six (6) requests comprising of 1700 shares for issue of duplicate share certificates during the period from 01st April, 2021 to 31st March, 2022.

**For Kavita Pamnani & Associates
Company Secretaries**

Kavita Pamnani (Proprietor)
FCS: 6288
C.P. No. : 11099

UDIN: F006288D000216850

Place: New Delhi
Date: 28.04.2022