



BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LTD.

(Corporate Identity Number : L67120DL1992PLC049038)

Regd. Office : 503, Rohit House, 3, Tolstoy Marg, New Delhi-110001

Tel.: 011-49800900 • Fax : 011-49800933

E-mail : commodities@bharatbhushan.com • Website : www.bbinvestments.in

REF: BBFCB/BSE/2023-24

April 17, 2023

To,

BSE Ltd.

**Corporate Relationship Department,
Phiroze Jeejeebhoy Towers,
25th Floor, Dalal Street,
Mumbai-400001**

Scrip Code: 511501

**Sub: Certificate under Regulation 40(9) of the Securities and Exchange Board of India
("SEBI") (Listing Obligations and Disclosure Requirements) Regulations, 2015 for
the year ended on March 31, 2023**

Dear Sir(s),

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by M/s. Kavita Pamnani & Associates, Practicing Company Secretary (M. No.: F6288 & CP No. 11099), for the year ended on March 31, 2023.

Kindly take the same on record.

Thanking you,
Yours truly,

**For and on behalf of
Bharat Bhushan Finance & Commodity Brokers Ltd.**

**Vaibhav Agrawal
Company Secretary
M. No.: A70576**

Encls: a/a

To,
The Board of Directors
Bharat Bhushan Finance & Commodity Brokers Limited
503, Rohit House, 3, Tolstoy Marg, Connaught
Place New Delhi – 110001

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2023

I have examined all the relevant records, registers, files and other documents relating to M/s Bharat Bhushan Finance & Commodity Brokers Limited (“The Company”) maintained by Alankit Assignments Limited (“RTA”) for the period from 01st April, 2022 to 31st March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and RTA, I hereby certify that:

- A) The Company has not received any request of re-lodgement for transfer of shares and has processed all valid transmission/name deletion requests received during the period from 01st April, 2023 to 31st March, 2023;
- B) The Company has not received any requests for split, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 01st April, 2022 to 31st March, 2023;
- B) The Company received six (6) requests comprising of 1800 shares for issue of duplicate share certificates during the period from 01st April, 2022 to 31st March, 2023.

**For Kavita Pamnani & Associates
Company Secretaries**

KAVITA Digitally signed by
PAMNANI KAVITA PAMNANI
Date: 2023.04.15
15:59:39 +05'30'

Kavita Pamnani (Proprietor)

FCS: 6288

C.P.No.: 11099

UDINF006288E000107906

Place: New Delhi
Date: 15.04.2023