

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L67120DL1992PLC049038

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACB0554H

(ii) (a) Name of the company

BHARAT BHUSHAN FINANCE &

(b) Registered office address

503, Rohit House,
3, Tolstoy Marg,
New Delhi
Central Delhi
Delhi
110001

(c) *e-mail ID of the company

commodities@bharatbhushan

(d) *Telephone number with STD code

01149800900

(e) Website

www.bbinvestments.in

(iii) Date of Incorporation

03/06/1992

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1

(b) CIN of the Registrar and Transfer Agent

U74210DL1991PLC042569

Pre-fill

Name of the Registrar and Transfer Agent

ALANKIT ASSIGNMENTS LIMITED

Registered office address of the Registrar and Transfer Agents

205-208ANARKALI COMPLEX
JHANDEWALAN EXTENSION

(vii) *Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

28/09/2020

(b) Due date of AGM

30/09/2020

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	7,000,000	3,380,400	3,380,400	3,380,400
Total amount of equity shares (in Rupees)	70,000,000	33,804,000	33,804,000	33,804,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	7,000,000	3,380,400	3,380,400	3,380,400
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	70,000,000	33,804,000	33,804,000	33,804,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)	0	0		

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	3,380,400	33,804,000	33,804,000	

Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify <input type="text"/>				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <input type="text"/>				
At the end of the year	3,380,400	33,804,000	33,804,000	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify <input type="text"/>				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	27/09/2019		
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

5,673,882

(ii) Net worth of the Company

94,269,112

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,779,624	52.65	0	
	(ii) Non-resident Indian (NRI)	45,907	1.36	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others Overseas Corporate Bodies, Clea	0	0	0	
	Total	1,825,531	54.01	0	0

Total number of shareholders (promoters)

12

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,171,510	34.66	0	
	(ii) Non-resident Indian (NRI)	7,931	0.23	0	
	(iii) Foreign national (other than NRI)	602	0.02	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	43,905	1.3	0	
10.	Others Overseas Corp. Bodies (OCE	330,921	9.79	0	
	Total	1,554,869	46	0	0

Total number of shareholders (other than promoters)

2,801

**Total number of shareholders (Promoters+Public/
Other than promoters)**

2,813

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	12	12
Members (other than promoters)	2,808	2,801
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	0	4	0	4	0	44.93
B. Non-Promoter	0	4	0	4	0	0
(i) Non-Independent	0	1	0	1	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	0	8	0	8	0	44.93

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 11

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
NISHA AHUJA	00001875	Director	679,413	
SANT KUMARI AGARWAL	00001877	Director	1,500	
VIJAY BHUSHAN	00002421	Director	804,323	
ARUN KUMAR GARG	00178582	Director appointed	0	
RAVINDRA SINGH	00429417	Director	0	
KULDEEP KUMAR GUPTA	00915376	Director	0	
VARUN SAIHGAL	06512573	Director	0	
MADHVI AHUJA	00001869	Director	33,905	
SATISH AGGARWAL	AASPA2927J	CFO	0	
SANDHYA JHALANI	AAHPA4961H	Manager	30,413	
PANKAJ SINGH BISHT	CLHPB5434K	Company Secretary	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year 4

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
JOGESH CHANDER AH	00650258	Director	30/06/2019	Cessation
MADHVI AHUJA	00001869	Director	27/09/2019	Appointment
PIYUSH KUMAR	DUUPK9788J	Company Secretar	08/02/2020	Cessation
PANKAJ SINGH BISHT	CLHPB5434K	Company Secretar	10/02/2020	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
AGM	27/09/2019	2,957	111	44.1

B. BOARD MEETINGS

*Number of meetings held

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	24/05/2019	8	8	100
2	23/08/2019	7	6	85.71
3	01/11/2019	8	7	87.5
4	30/01/2020	8	6	75

C. COMMITTEE MEETINGS

Number of meetings held

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	udit Committee	24/05/2019	4	4	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
2	Audit Committee	23/08/2019	4	3	75
3	Audit Committee	01/11/2019	4	3	75
4	Audit Committee	30/01/2020	4	3	75
5	Stakeholder R	24/05/2019	3	3	100
6	Stakeholder R	23/08/2019	3	3	100
7	Stakeholder R	01/11/2019	3	3	100
8	Stakeholder R	30/01/2020	3	3	100
9	Nomination an	23/08/2019	3	3	100
10	Nomination an	30/01/2020	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	28/09/2020
								(Y/N/NA)
1	NISHA AHUJA	4	4	100	6	6	100	Yes
2	SANT KUMAR	4	4	100	0	0	0	No
3	VIJAY BHUSH	4	4	100	4	4	100	Yes
4	ARUN KUMAR	4	4	100	3	3	100	No
5	RAVINDRA SI	4	4	100	6	6	100	No
6	KULDEEP KU	4	1	25	4	1	25	No
7	VARUN SAIKH	4	4	100	10	10	100	Yes
8	MADHVI AHU	2	1	50	0	0	0	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sandhya Jhalani	Manager	435,135				435,135
	Total		435,135	0			435,135

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Satish Aggarwal	CFO	525,090				525,090
2	Piyush Kumar	Company Secre	283,670				283,670
3	Pankaj Singh Bisht	Company Secre	60,516				60,516
	Total		869,276	0			869,276

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director Digitally signed by VIJAY BHUSHAN Date: 2020.11.19 16:44:45 +05'30'

DIN of the director

To be digitally signed by Digitally signed by KAVITA PAMNANI Date: 2020.11.19 16:53:19 +05'30'

- Company Secretary
 Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach
Attach
Attach
Attach

List of attachments

Share Transfer Details_2019-20.pdf MGT-8_bbfcb_2020.pdf
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Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LTD

Share Transfer Details From 01-04-2019 To 31/03/2020

SERIAL NO.	DATE	TYPE OF SECURITY	NUMBER OF SHARES	NOMINAL VALUE(RS.)	LEDGER FOLIO OF TRANSFEROR	TRANSFERORS NAME	LEDGER FOLIO OF TRANSFEREE	TRANSFEREES NAME
1	05-04-2019	1	200	10	4531	NARINDER KUMAR BHATIA		
2	11-04-2019	1	100	10	10156	SANTOSH KUMAR SATSANGI	CDSL	CDSL
3	11-04-2019	1	500	10	7573	LALITA GOEL	CDSL	CDSL
4	16-04-2019	1	500	10	10153	ASHOK KUMAR KANSAL	NSDL	NSDL
5	16-04-2019	1	300	10	1868	RAJENDRA PRAKASH AGARWAL	NSDL	NSDL
6	23-04-2019	1	500	10	10157	KULDEEP KAUR	NSDL	NSDL
7	23-04-2019	1	500	10	7600	NEENA DUGGAL	NSDL	NSDL
8	24-04-2019	1	300	10	4137	RAJINDER SINGH	NSDL	NSDL
9	24-04-2019	1	100	10	8734	RAVINDER BAJAJ	NSDL	NSDL
10	24-04-2019	1	500	10	3669	BIKASH GHOSH	NSDL	NSDL
11	29-04-2019	1	900	10	8994	NEW WAVE CONSULTANCY SERVICES (P) L	NSDL	NSDL
12	30-04-2019	1	300	10	3273	PRAMOD KUMAR KANODIA	CDSL	CDSL
13	09-05-2019	1	700	10	7481	VINOD SHARMA	NSDL	NSDL
14	03-06-2019	1	200	10	3385	HARI SHANKAR GUPTA	10158	DEVENDER MOHAN GUPTA
15	05-06-2019	1	100	10	9035	NARENDER KUMAR SHARMA	CDSL	CDSL
16	05-06-2019	1	100	10	9505	NARENDER KUMAR SHARMA	CDSL	CDSL
17	10-06-2019	1	200	10	10155	MEENAKSHI	CDSL	CDSL
18	18-06-2019	1	100	10	8175	ANUJ MEHRA	NSDL	NSDL
19	24-06-2019	1	500	10	246	VINEET JOSHI	10159	MANJU GUPTA
20	24-06-2019	1	700	10	8056	HARWAIL SINGH	10160	AMARJEET KAUR
21	25-06-2019	1	1000	10	5854	MOHINDER KAUR CHAWLA	NSDL	NSDL
22	11-07-2019	1	200	10	10158	DEVENDER MOHAN GUPTA	NSDL	NSDL
23	30-07-2019	1	300	10	1421	MANJULA SINGH	NSDL	NSDL
24	05-08-2019	1	200	10	5776	DURGESH POPLI	NSDL	NSDL
25	12-08-2019	1	100	10	6789	REKHA BANSAL	NSDL	NSDL
26	19-08-2019	1	700	10	10160	AMARJEET KAUR	CDSL	CDSL
27	30-08-2019	1	400	10	1420	MANJULA SINGH	NSDL	NSDL
28	07-09-2019	1	100	10	7677	SUBHASH CHAND CHAUHAN	NSDL	NSDL
29	04-10-2019	1	100	10	9963	RAVINDER KUMAR	NSDL	NSDL
30	04-10-2019	1	1000	10	4523	KESHAV KUMAR	NSDL	NSDL
31	05-10-2019	1	300	10	1224	O K BHALLA	CDSL	CDSL
32	17-10-2019	1	200	10	6783	RAJINDER KUMAR	CDSL	CDSL
33	21-10-2019	1	500	10	900031	BAL KRISHAN ANAND	NSDL	NSDL
34	08-11-2019	1	100	10	3324	JAGMOHAN KRISHAN	CDSL	CDSL
35	30-11-2019	1	100	10	7062	AMIT KUMAR	NSDL	NSDL
36	24-12-2019	1	500	10	4287	DHANPAT SINGH BHUTORIA	NSDL	NSDL
37	30-12-2019	1	300	10	4116	NATHA SINGH	NSDL	NSDL
38	09-01-2020	1	600	10	6124	BHAGWAN DASS	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
39	09-01-2020	1	100	10	3584	GURCHARAN SINGH PRABHAKAR	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
40	09-01-2020	1	100	10	8461	MOOL CHAND GUPTA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
41	09-01-2020	1	300	10	8552	SADHNA GOEL	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
42	09-01-2020	1	500	10	6200	KUSUM BANSAL	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
43	09-01-2020	1	100	10	6523	KANWALJEET	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
44	09-01-2020	1	100	10	9008	MANJU	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
45	09-01-2020	1	300	10	3352	RAGHUNATH PRASAD SODANI	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
46	09-01-2020	1	300	10	8083	POONAM AGGARWAL	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
47	09-01-2020	1	100	10	2050	BRIJ KISHORE	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
48	09-01-2020	1	300	10	4149	JATINDER CHAUHAN	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
49	09-01-2020	1	400	10	6613	RAMA BHAMBRI	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
50	09-01-2020	1	300	10	9173	ASHWANI PATHANIA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
51	09-01-2020	1	100	10	2592	HARMOHINDER SINGH	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
52	09-01-2020	1	200	10	5907	R K SEHGAL	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
53	09-01-2020	1	200	10	6792	ROHIT VIJ	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
54	09-01-2020	1	300	10	3250	DHANNA LAL YADAV	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
55	09-01-2020	1	100	10	8846	SURENDRA KUMAR GUPTA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
56	09-01-2020	1	500	10	3100	JAGAT RAM	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
57	09-01-2020	1	200	10	4490	ANURADHA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
58	09-01-2020	1	100	10	1818	ASHOK KUMAR GARG	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
59	09-01-2020	1	300	10	5382	SANJAY SRIVASTAVA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA

Bharat Bhushan Finance
Commodity Brokers Ltd.

Bhushan
Director

Bharat Bhushan Finance
Commodity Brokers Ltd.

Nisha
Director

60	09-01-2020	1		300	10 6291	RAJ KUMAR JAIN	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
61	09-01-2020	1		100	10 3688	RAMESH CH H THOSANI	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
62	09-01-2020	1		200	10 5078	ANIL KUMAR	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
63	09-01-2020	1		100	10 8711	NISHA KOHLI	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
64	09-01-2020	1		300	10 2982	SUNIL KUMAR AGRAWAL	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
65	09-01-2020	1		300	10 1534	KARUNA SURESH AGRAWAL	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
66	09-01-2020	1		300	10 7620	RAJENDER KUMAR SOIN	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
67	09-01-2020	1		100	10 9058	BISHWA NATH KHEMKA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
68	09-01-2020	1		100	10 1535	SHANU SURESH AGRAWAL	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
69	09-01-2020	1		100	10 3830	PARVEEN K CHOPRA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
70	09-01-2020	1		300	10 6189	JUGAL KISHORE BARRY	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
71	09-01-2020	1		100	10 800	ASHOK GARG	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
72	09-01-2020	1		100	10 8390	DEEPAK KUMAR	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
73	09-01-2020	1		300	10 3345	RENUKA SETH	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
74	09-01-2020	1		500	10 5395	SATYA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
75	09-01-2020	1		100	10 6022	SUMAN MALIK	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
76	09-01-2020	1		100	10 6298	RAJENDER ARORA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
77	09-01-2020	1		1000	10 7203	MADHAVI MURTY	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
78	09-01-2020	1		100	10 3689	BHAVANA R THOSANI	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
79	09-01-2020	1		200	10 6305	RAJESH JAIN	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
80	09-01-2020	1		100	10 8483	OM PARKASH	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
81	09-01-2020	1		200	10 2976	ALOK KHANNA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
82	09-01-2020	1		300	10 3278	SHRABONI DATTA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
83	09-01-2020	1		200	10 8875	RAJESH KANSAL	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
84	09-01-2020	1		200	10 1227	NEENA JAIN	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
85	09-01-2020	1		100	10 3613	VIDHYA BHUSHAN GUPTA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
86	09-01-2020	1		100	10 8460	MOOL CHAND GUPTA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
87	09-01-2020	1		300	10 8741	SANJEEV RATHI	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
88	09-01-2020	1		300	10 10094	RAKESH GUPTA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
89	09-01-2020	1		100	10 4290	DINESH KUMAR GUPTA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
90	09-01-2020	1		100	10 8412	JAYANTA KUMAR BOSE	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
91	09-01-2020	1		100	10 8994	NEW WAVE CONSULTANCY SERVICES (P) L	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
92	09-01-2020	1		500	10 2507	ARCHANA MITTAL	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
93	09-01-2020	1		300	10 2550	MANJU PRABHA JAIN	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
94	09-01-2020	1		300	10 490	ARUN	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
95	09-01-2020	1		400	10 7610	PALVINDER SINGH	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
96	09-01-2020	1		500	10 6654	SUDESH KUMAR PURI	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
97	09-01-2020	1		100	10 8077	MAHINDER KUMAR	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
98	09-01-2020	1		500	10 2878	JAYASHREE SINGH	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
99	09-01-2020	1		100	10 8107	RAVINDERA VERMA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
100	09-01-2020	1		100	10 3781	TARA PADA PAUL	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
101	09-01-2020	1		500	10 5409	SHASHI ARORA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
102	09-01-2020	1		100	10 3818	SANTOSH KHANDELWAL	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
103	09-01-2020	1		200	10 3996	RAJIV MALHOTRA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
104	09-01-2020	1		300	10 8602	SOM CHOPRA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
105	09-01-2020	1		100	10 8104	RAM RAKSH PAL GUPTA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
106	09-01-2020	1		300	10 9181	RABI KIRAN SAHOO	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
107	09-01-2020	1		6500	10 5755	BHOLA NIRLA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
108	09-01-2020	1		100	10 7394	MILKHI RAM	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
109	09-01-2020	1		100	10 6084	AARTI BABBAR	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA
110	09-01-2020	1		100	10 9529	NEHA	999995	INVESTOR EDUCATION AND PROTECTION FUND - MCA

Bharat Bhushan Finance
Commodity Brokers Ltd.
Bhushan
Director

Bharat Bhushan Finance
Commodity Brokers Ltd.
Nisha
Director

Form No. MGT-8

**[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies
(Management and Administration) Rules, 2014]**

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **M/s Bharat Bhushan Finance & Commodity Brokers Limited.** (The Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31st March, 2020. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. its status under the Act;
2. maintenance of registers/records & making entries therein within the time prescribed therefor;
3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;
4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed;
5. closure of Register of Members / Security holders, as the case may be.
6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;(Not Applicable)
7. contracts/arrangements with related parties as specified in section 188 of the Act;
8. issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;

KAVITA PAMNANI & ASSOCIATES
COMPANY SECRETARIES

A - 104, Lower Ground Floor,
Amar Colony, Lajpat Nagar-IV,
New Delhi-110024
Mobile No: +91-9811676217
Tel No. : 011- 41576217

E-mail : kavitasewani1@gmail.com

9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act.
10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act; (Not Applicable)
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act; (Not applicable)
15. acceptance/ renewal/ repayment of deposits;(Not applicable)
16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;(Not applicable)
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act ;
18. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;(Not applicable)

For Kavita Pamnani & Associates

KAVITA PAMNANI
PAMNANI

Digitally signed by
KAVITA PAMNANI
Date: 2020.11.19
15:15:14 +05'30'

Kavita Pamnani

(Proprietor)

C.P. No. 11099

UDIN: F006288B001255327

Place: New Delhi

Date: 19.11.2020