



**BHARAT BHUSHAN EQUITY TRADERS LTD.**  
 5-E, RANI JHANSI ROAD, JHANDEWALAN EXTN., NEW DELHI-110 055  
 PH.: 23555679 (D), 23540998-999, 23555682, 23549996-997 FAX : 23540996

**DP ID IN 301209**  
**DEPOSITORY ACCOUNT OPENING FORM**  
**(INDIVIDUAL)**

For Office Use Only
A/c No. :
Date :

I/We request you to open a depository account in my/our name as per the following details  
 (Please fill all the details in CAPITAL LETTERS only)

**Type of Account :**

<input type="checkbox"/> Ordinary Resident Individual	<input type="checkbox"/> NRI-Repatriable	<input type="checkbox"/> HUF	<input type="checkbox"/> Margin
<input type="checkbox"/> Ordinary Resident Joint	<input type="checkbox"/> NRI Non-Repatriable	<input type="checkbox"/> Promoter	<input type="checkbox"/> Others (Please specify)

**Sole / First Holder's Details**

Name															
Name of Father/Husband															
Local / Permanent Address													Pin Code		
Correspondence Address													Pin Code		
Telephone No.					Mobile No.					SMS facility	Yes <input type="checkbox"/>	No <input type="checkbox"/>			
Fax Number					E-mail ID :										
Occupation											MAPIN ID :				

**Other Holder Details :**

<b>Second Holder Name</b>															
Name of Father/Husband															
Address													Pin Code		
Telephone No.					Mobile No.					SMS facility	Yes <input type="checkbox"/>	No <input type="checkbox"/>			
Fax Number					E-mail ID :										
Occupation											MAPIN ID :				
<b>Third Holder Name</b>															
Name of Father/Husband															
Address													Pin Code		
Telephone No.					Mobile No.					SMS facility	Yes <input type="checkbox"/>	No <input type="checkbox"/>			
Fax Number					E-mail ID :										
Occupation											MAPIN ID :				

**Guardian Details (In case the Sole/First/Second/Third Holder is minor)**

Name															
Relationship															
Address													Pin Code		
Date of Birth (if minor)															

**In Case of NRIs**

Address													Pin Code		
RBI Reference No.															
RBI Approval Date.															

Address for communication / Corporate Benefits (Default option is Local Address)	Local / Permanent Address	<input type="checkbox"/>
	Correspondence Address / Foreign Address	<input type="checkbox"/>

<b>Please attach recent passport size photographs in the space provided below :</b>		
Sole/ First Holder	Second Holder	Third Holder
<i>Please sign across the Photographs after pasting.</i>	<i>Please sign across the Photographs after pasting.</i>	<i>Please sign across the Photographs after pasting.</i>
<b>Bank Details</b>		
Bank Sub-type	Savings Account <input type="checkbox"/>	Current Account <input type="checkbox"/> O/D Account <input type="checkbox"/>
Bank Account No.		
Bank Name		
Branch Address		
	Pin Code	
9-Digit Code Number of the Bank and branch appearing on the MICR cheque issued by the Bank		
<b>Financial Details</b>		
	P.A.N./ G.I.R. NO.	IT Circle / Ward / District No.
Sole / First Holder		
Second Holder		
Third Holder		
<b>Additional Financial Details</b>		
Financial Status (Annual Income)	<input type="checkbox"/> < Rs. 5 Lakh <input type="checkbox"/> Rs. 5 – 10 Lakh <input type="checkbox"/> Rs. 10 – 15 Lakh <input type="checkbox"/> > Rs. 15 Lakh	
Nature of Business		
<b>Standing Instructions</b>		
I / We authorise you to receive credits automatically into my/our Account.	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Account to be operated through Power of Attorney (POA)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<b>Declaration</b>		
<p>The rules and regulation of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/We have understood the same and I/We agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/ our knowledge as on the date of making such application. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.</p>		
	<b>Name</b>	<b>Signature(s)</b>
Sole/First Holder		✕
Second Holder		✕
Third Holder		✕
Guardian (in case of minor)		✕
<b>Introduction</b>		
By the applicant's bank or by an existing account holder of		<b>DP ID IN 301209</b>
I confirm the identity and address of the applicant (s)		<b>Bharat Bhushan Equity Traders Ltd.</b>
Name :		
Client ID :		
	Signature of Introducer (To be verified by DP Official)	

**NOMINATION**

I/We wish to make a nomination and do hereby nominate the following person in whom all rights and/ or money payable in respect of securities held in the Depository by me/us in the said beneficiary owner account shall vest in the event of my/our/minor's death.

I/We do not wish to make a nomination.

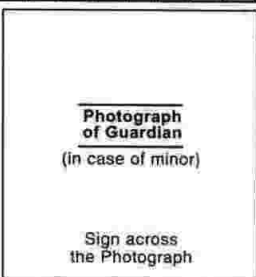
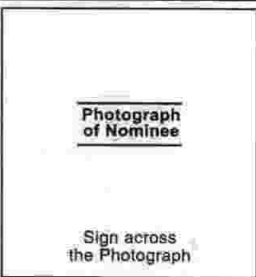
Name of the Nominee	
Relationship with the applicant (if any)	
The Nominee is a minor, whose guardian is	
Address	
	Pin Code

Date of Birth (in case of Minor)

Signature of Nominee

Signature of Guardian (in case of minor)

Signature of two Witnesses



Name	Address	Signature with date
1.		
2.		

(To be signed by the applicant only in case of nomination)

\_\_\_\_\_ (Sole / First Holder)
  \_\_\_\_\_ (Second Holder)
  \_\_\_\_\_ (Third Holder)

**Notes :**

- All communications shall be sent at the correspondence address of the Sole/ First holder only.
- Thumb impressions and signatures other than English or Hindi or any of the other languages not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- As per NSDL Circular No. NSDL/PI/2004/1622 dated September 7, 2004 pursuant to SEBI Circular No. MRD/DoP/Dep/Cir-29/2004 dated August 24, 2004 a copy of any one of the following documents may be accepted as proof of identity / proof of address (local/correspondence/foreign address as the case may be): **Proof of Identity** : Passport, Voter ID Card, Driving license, PAN card with photograph, MAPIN card, identity card/document with applicant's Photo, issued by a) Central/State Government and its Departments, b) Statutory/Regulatory Authorities, c) Public Sector Undertakings, d) Scheduled Commercial Banks, e) Public Financial Institutions, f) Colleges affiliated to Universities, g) Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc, to their Member, and h) Credit cards/Debit cards issued by Banks. **Proof of Address** : Ration card, Passport, Voter ID Card, Driving license, Bank passbook, verified copies of Electricity bills (not more than two months old)/Residence Tel. Bills (not more than two months old) Leave and license agreement / Agreement for sale, Self declaration by High Court & Supreme Court judges, giving the new address in respect of their own accounts, identity card/document with address, issued by a) Central/State Government and its Departments, b) Statutory/ Regulatory Authorities, c) Public Sector Undertakings, d) Scheduled Commercial Banks, e) Public Financial Institutions d) College affiliated to universities and e) Professional Bodies such as ICAI, ICWAI, Bar Council etc, to their Members. **Participants must verify the copy of the document with the original.**
- Institutions related to nomination, are as below:
  - The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, Trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
  - A minor can be nominated, in that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
  - The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney Holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
  - Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
  - Transfer of securities in favour of a Nominee shall be valid discharge by the depository against the legal heir.
  - The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
  - On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.
- Strike Off whichever is not applicable.



**Acknowledgement**  
**BHARAT BHUSHAN EQUITY TRADERS LTD.**  
 5-E, RANI JHANSI ROAD, JHANDEWALAN EXTN., NEW DELHI - 110 055

DP/ ID IN 301209

Received the application from Mr/Ms \_\_\_\_\_ as the sole/first holder alongwith \_\_\_\_\_ and \_\_\_\_\_ as the second and third holder respectively for opening of a depository account. Your Clinet ID will be intimated to you shortly. Please quote the DP Id & Client Id allotted to you in all your future correspondence.

Date : \_\_\_\_\_

**Participant Stamp & Signature**



**BHARAT BHUSHAN EQUITY TRADERS LTD.**  
5-E, RANI JHANSI ROAD, JHANDEWALAN EXTN.,  
NEW DELHI-110 055

### DP – CLIENT AGREEMENT

**AGREEMENT BETWEEN THE DEPOSITORY PARTICIPANT [entity registered as Depository Participant of National Securities Depository Limited ("NSDL") with Securities and Exchange Board of India (SEBI) under SEBI (Depositories & Participants) Regulation, 1996] AND THE CLIENT (person seeking to open a depository account with the depository participant) FOR THE PURPOSE OF HOLDING AND TRANSACTING IN ELECTRONIC WAREHOUSE RECEIPTS**

This agreement made and entered into this \_\_\_\_\_ day of \_\_\_\_\_  
between \_\_\_\_\_  
situated at \_\_\_\_\_

(hereinafter called the "Client") and **BHARAT BHUSHAN EQUITY TRADERS LTD.** 5-E, Rani Jhansi Road, Jhandewalan Extn., New Delhi-110055  
(hereinafter called the "Depository Participant" or "DP")

#### Witnesseth

WHEREAS the Depository Participant is registered as a participant in the National Securities Depository Ltd. ("NSDL"), a duly-registered depository under the Depositories Act, 1996.

WHEREAS the Client has furnished to the Depository Participant the duly filled in application form as prescribed by NSDL requesting therein the Depository Participant to open an account with the Depository Participant; and Depository Participant has represented to the client that it has set up a facility to extend depository services offered by NSDL to warehouse receipts.

NOW THEREFORE in consideration of the Depository Participant having agreed to open an account for the Client, both the parties to the agreement hereby covenant and agree as follows:

1. The Client shall pay such charges to the Depository Participant for the purpose of opening and maintaining his account, for carrying out the instructions of the Client and for rendering such other services as may be agreed to from time to time between the Depository Participant and the Client as set out in Schedule A. The Depository Participant shall reserve the right to revise the charges by giving not less than thirty days notice in writing to the Client. Depository Participant shall not levy account closure charges if client chooses to close the account.
2. The Depository Participant hereby undertakes that it shall maintain a separate account of its own warehouse receipts, if any, held in dematerialised form and shall not co-mingle the same with the warehouse receipts held in dematerialised form by the Client.
3. The Depository Participant undertakes that a transfer to and from the accounts of the Client shall be made only on the basis of a written order, instruction, direction or mandate duly authorised by the Client and that the Depository Participant shall maintain adequate audit trail of such authorization.
4. The Depository Participant agrees that the Client may give written standing instructions with regard to the debiting or crediting of electronic warehouse receipts in its account and the Depository Participant shall act according to such instructions.
5. The Depository Participant undertakes to provide a transaction statement to the Client atleast at monthly intervals unless the Depository Participant and the Client have agreed in writing for provision of such statements at shorter intervals. However, if there is no transaction in the account, then the Depository Participant shall provide such statement to the Client atleast once a quarter.
6. The Depository Participant undertakes to resolve all legitimate grievances of the Client against the Depository Participant within a period of thirty days.
7. The client agrees to operate this beneficial owner account for the sole purpose of holding and transacting in electronic warehouse receipts and shall be solely responsible for costs and consequences of receiving credit of any other securities into this account in the event of such credit being made owing to instructions issued by the client. The DP may levy special charges to transfer such securities out of this account, in addition to the charges listed in schedule 'A'. However, if the client gets any erroneous credit of securities/WRs in this account, he will assist the DP in restoring the securities into the account from which the securities were received in the clients account at no cost to the client.
8. The client may seek delivery of the commodity represented by electronic warehouse receipt from the warehouse that has issued the electronic warehouse receipt. The client acknowledges that neither the Depository Participant nor NSDL shall be responsible for delivery of the commodities against the electronic warehouse receipts, whether on grounds of quantity, quality, timeliness of delivery or otherwise. The client hereby indemnifies and agrees to keep indemnified and hold the Depository Participant and NSDL harmless from and against any loss, claim, damage, injury or other cost and expense relating to delivery of the commodity underlying the warehouse receipt.
9. The charges related to the warehousing service shall be settled in accordance with the arrangement agreed to by the client, Depository Participant and warehouse without any recourse to NSDL.
10. On the failure of the Client to pay the charges for provision of services by the DP as laid out in clause (1) of this agreement within a period of fifteen days from the date of demand, Depository Participant may terminate this agreement and close the account of the Client by requiring it to specify whether the balances in its account be transferred to the account of the Client held with another Depository Participant or send a delivery advise to related warehouse.

\_\_\_\_\_  
(Sole/First Holder)

\_\_\_\_\_  
(Second Holder)

\_\_\_\_\_  
(Thrid Holder)

11. The Client further agrees that in the event of the Client committing a default in the payment of any of the amounts due and owing to the DP in terms of this Agreement within a period of fifteen days from the date of demand, without prejudice to the right of the Depository Participant to close the account of the Client, the Depository Participant may charge interest at a rate not exceeding 15%. In case the Client has failed to make the payment of any such amount, the Depository Participant shall have the right to discontinue the Depository services till such time he makes the payment along with interest, if any, after giving two days notice to the Client. If the client does not make the payment for more than **two months**, Depository participant may sell the commodities represented by the warehouse receipts to recover the amount due to it after giving 7 days notice to the client. The DP shall be deemed to have always had a first charge and lien over the warehouse receipts in the account of the Client towards recovery of amounts due under this Agreement.
12. The Depository Participant shall have the right to terminate this agreement, for any reasons whatsoever, provided the Depository Participant has given a notice in writing of not less than thirty days to the Client. In such an event, the Client shall specify whether the balances in its account should be transferred to another account of the Client held with another Depository Participant or it will seek delivery of commodities against the electronic warehouse receipts held. Based on the instructions of the Client, the Depository Participant shall initiate the procedure for transferring such electronic warehouse receipts or send a delivery advice against electronic warehouse receipts within a period of three days. The Client shall have the right to terminate this agreement and close his account held with the Depository Participant, provided no charges are payable by him to the Depository Participant. Provided further, termination of this agreement shall not affect the rights, liabilities and obligations of either party accruing prior to termination and shall continue to bind the parties to their satisfactory completion.
13. In the event of Depository Participant being suspended or terminated by NSDL/SEBI in terms of Bye Laws/Business Rules of NSDL or SEBI Depositories & Participants Regulations, 1996, Depository Participant/NSDL may give a notice of not less than 30 days of such suspension/termination to the client. In such an event, the Client shall specify whether the balances in its account should be transferred to another account of the Client held with another Depository Participant or if it will seek delivery of commodities against the electronic warehouse receipts held. Based on the instructions of the Client, the Depository Participant shall initiate the procedure for transferring such electronic warehouse receipts or send a delivery advice against electronic warehouse receipts within a period of three days.
14. (i) The Client shall have the right to create a pledge of the electronic warehouse receipts held in the dematerialised form.  
 (ii) The Client may exercise the right to freeze his account maintained with the Depository Participant so as to lock the electronic warehouse receipts held with the Depository Participant. The Client may exercise the right to un-freeze his account maintained with the Depository Participant. Such freezing and un-freezing of the account shall be in accordance with the procedure prescribed in this regard by NSDL.
15. The Client shall notify the Depository Participant within seven days, of any change in the details set out in the application form submitted to the Depository Participant at the time of opening the account or furnished to the Depository Participant from time to time.
16. The Depository Participant shall have a right to provide such information related to the Client's account as may be requested by the National Securities Depository Limited from time to time.
17. The Depository Participant shall not be liable to the Client in any manner towards losses, liabilities and expenses arising from the claims of third parties and from taxes and other governmental charges in respect of electronic warehouse receipts credited to Client's account.
18. Depository Participant shall discharge all its obligations to the client as provided in the SEBI [Depositories & Participants] Regulations, 1996 and Bye Laws of NSDL in force at the time of entering into this agreement and as may be amended as if such client were a beneficial owner of securities in terms of the aforesaid laws, and shall maintain the same standard of service provided such obligations are not in contravention of the terms and conditions of this agreement.
19. All claims, differences and disputes between Depository Participants and Clients arising out of or in relation to dealings on the NSDL Depository including any agreements, contracts and transactions made subject to this agreement or with reference to anything incidental thereto or in pursuance thereof or relating to their validity, construction, interpretation, fulfillment or the rights, obligations and liabilities of the parties thereto and including any question of whether such dealings, transactions, agreements and contracts have been entered into or not, shall be referred to arbitration in accordance to and subject to the provisions of the Arbitration and Conciliation Act, 1996. The arbitration mechanism prescribed under the NSDL Bye-Laws, Rules and Regulations for disputes between members and clients in securities transactions shall be applicable to disputes between the Client and DP in relation to disputes arising out of and in connection with this Agreement.

IN WITNESS WHEREOF the Client and the Depository Participant has caused these presents to be executed as of the day and year first above written.

\_\_\_\_\_  
 (Sole/First Holder)

\_\_\_\_\_  
 (Second Holder)

\_\_\_\_\_  
 (Third Holder)

(for and on behalf of the Client)

Signed and delivered by

\_\_\_\_\_  
 (for and on behalf of the  
 Depository Participant)

Signature of Witness _____
Name & Address of Witness _____
_____
_____
_____
PIN _____

Signature of Witness _____
Name & Address of Witness _____
_____
_____
_____
PIN _____

## BHARAT BHUSHAN EQUITY TRADERS LTD.

5-E, Rani Jhansi Road, Jhandewalan Extn., New Delhi 110001  
Phones: 011-23555679 (D), Fax 011-23540996

### Schedule of Charges for Beneficiary Account

Nature of Service	Charges for NCDEX & MCX	Charges for National Spot Exchange (E Series)
Account Opening	Nil	Nil
Annual Maintenance	Rs. 500/- p.a	Rs. 300/- p.a
Advance Payment	Rs. 5000/- (adjustable against billing)	Rs. 200/- (adjustable against billing)
Stamp Paper	Rs. 50/-	Rs. 50/-
Transaction Charge (Dr.)	Rs. 100/- per instruction	Rs. 15/-* per instruction
Transaction Charge (Cr.)	Nil	Nil
Pledge Creation	Rs. 100/- per instruction	Rs. 50/- per instruction
Pledge Closure	Rs. 100/- per instruction	Rs. 50/- per instruction
Pledge Invocation	Rs. 100/- per instruction	Rs. 50/- per instruction
Remat Set up	Rs. 150/- per request	NA
Remat Confirmation	Rs. 150/- per request	NA
Surrender Request (SRF)	NA	Rs. 100/- per request

\* For Instruction above value of Rs. 3 Lac additional charges @0.005% of the value over and above Rs. 3 Lac will be charged subject to a maximum of Rs. 100/- per instruction.

**Notes:-**

- The Depository Participant shall reserve the right to revise the Charges by giving not less than 30 days notice in writing to the client.
- Value of Transaction will be in accordance with the Depository formulae.
- Modification in client detail, Rs. 25/- per instance.
- Rejection Charges on failure of delivery instruction, Rs. 15/- per instruction.
- Off-Market Instruction not received prior to 24 hours of execution will be received at client's risk. However no extra charges will be levied.
- Non-periodic statement shall be charged @ Rs. 5/- per page and postage/courier charge @ Rs.30/- per mail.
- Storage and any other incidental charges levied on us for E - Gold/ E Silver would be recovered on actual basis plus service charges @ 0.40 paisa per unit of E- Gold p.m. and .01 paisa per unit of E-Silver p.m.
- Warehouse, Registrar and any other incidental charges levied on us for any Other Commodities would be recovered on actual basis plus service charges @ 2% of the value of commodity subject to Minimum of Rs. 50/- Per ICIN per month.
- In case of non-payment of bill/dues within 30 days of due date, interest shall be charged @2.0% per month on the outstanding dues and in future no transfer / remat /SRF request will be processed.
- Any service not listed above will be charged extra.
- Charges quoted above are for the services listed and are exclusive of Service Tax and Regulatory Charges.
- Management reserves the right to Freeze/Suspend/Revise Charges of the account with a period of 30 days notice to the client.

1) Identity Verified "IN" Person

2) Documents Verified with Original

A) Bharat Bhushan Equity Traders Ltd.  
(DP ID IN 301209)

B) Branch.....

C) Staff Name.....

Emp Code..... Sign.....

D) Date..... Place.....

Sign. (Sole/First Holder)

Sign. (Second Holder)

Sign. (Third Holder)

\_\_\_\_\_  
Signature (Sole/First Holder)

\_\_\_\_\_  
Signature (Second Holder)

\_\_\_\_\_  
Signature (Third Holder)